

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EV351241919US

Applicant : Mark J. Owens, et al.  
Application No. : N/A  
Filed : December 3, 2004  
Title : WDM MODULE  
Grp./Div. : N/A  
Examiner : N/A  
Docket No. : 53989/DBP/S632

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
December 3, 2004


Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed Foreign Patent Documents and Other Documents are enclosed. References listed as "ON ORDER" will be provided upon receipt of the same. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER &amp; HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

Enclosures: Form PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	<b>Attorney Docket Number</b>	53989/DBP/S632
<b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	Application Number	N/A
	Filing Date	December 3, 2004
	Applicant(s)	Mark J. Owens, et al.
	Group Art Unit	N/A
	Examiner Name	N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>DOCUMENT NUMBER</u> Number - Kind Code <sup>2</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		2002/0159130 A1	10-31-2002	Sakano, et al.
		2002/0186430 A1	12-12-2002	Halgren, et al.
		5,754,320	05-19-1998	Watanabe, et al.
		6,212,075 B1	04-03-2001	Habing, et al.
		6,243,514 B1	06-05-2001	Thompson
		6,408,119 B1	06-18-2002	Meltz, et al.
		6,476,953 B1	11-05-2002	Morkel
		6,549,697 B1	04-15-2003	Bigo, et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>FOREIGN PATENT DOCUMENT</u> Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 759 681 A2	02-26-1997	Watanabe, et al.	Corresponding U.S. 5,754,320 Above
		EP 0 975 105 A2	01-26-2000	Sasaki	
		EP 1 267 587 A2	12-18-2002	Halgren, et al.	Corresponding U.S. 20020186430 Above
		JP 09046084 A (ON ORDER)	02-14-1997		
		JP 09331107 A (ON ORDER)	12-22-1997		
		JP 11220278 A (ON ORDER)	08-10-1999		
		JP 2001244664 A (ON ORDER)	07-09-2001		
		WO 97/08574	03-06-1997	Kewitsch, et al.	

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		WO 99/56159	11-04-1999	Mossberg, et al.	
		WO 01/13550 A1	02-22-2001	Morkel	Corresponding U.S. 6,476,953 Above

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		International Search Report of PCT/AU03/00408, Dated 06-12-2003
		Corresponding Australian Priority Application Search Report, Dated 07-11-2002

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